

10-29-0.7 37 B GW



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Havenga et al.

Serial No.: 10/040,949

Filed: January 7, 2002

For: INFECTION WITH CHIMAERIC ADENOVIRUSES OF CELLS NEGATIVE FOR THE ADENOVIRUS SEROTYPE 5 COXSACKI ADENOVIRUS RECEPTOR

(CAR)

Examiner: To be assigned

Group Art Unit: 1645

Attorney Docket No.: 2183-5226US

NOTICE OF EXPRESS MAILING

 Express Mail Mailing Label Number:
 EV175437013US

 Date of Deposit with USPS:
 October 25, 2002

 Person making Deposit:
 Jon Wentz

RESPONSE TO NOTICE TO COMPLY

Commissioner for Patents Washington, D.C. 20231

Sir:

Enclosed is a copy of the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence, along with the following required items in connection with the above-referenced application: Paper copy of the Substitute Sequence Listing, copy of the Substitute Sequence Listing in computer readable form (CRF) and statement under 37 C.F.R. §§ 1.821 through 1.825 (2 pages). This document is being filed within two 2) months from the mailing date of the Notice to Comply.

Serial No.: 10/040,949

It is noted that while a change in the filing date was made in response to a request in the Notice to Comply to reflect the date on which the Sequence Listing was submitted, the filing date of the application is January 7, 2002.

If any questions remain regarding this matter, please contact the undersigned at the address or telephone number given herein.

Respectfully submitted,

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Date: October 16, 2002

ACT/TLW/

Enclosures:

Copy of Notice to Comply

Paper Copy of Substitute Sequence Listing

Statement Under 37 C.F.R. §§ 1.821 through 1.825 (2 pages)

Substitute Sequence Listing in Computer Readable Form (CRF)



Commissioner for Patents Washington, DC 20231 www.uspto.gov

PLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/040,949

SALT LAKE CITY, UT 84110

TRASK BRITT P.O. BOX 2550 01/07/2002

Menzo Havenga

2183-5226US

CONFIRMATION NO. 5172

FORMALITIES LETTER

OC000000008875442

Date Mailed: 09/30/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of
the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as
indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a
substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content
of the sequence listing information recorded in computer readable form is identical to the written (on paper
or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR
1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE